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Vibration based method to evaluate floor-ceiling impact performance

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The existing ASTM measurement methods use radiated averaged Sound Pressure Levels to evaluate the impact performance of floor structures from a tapping machine input. In low-frequencies, where the acoustic modal density of residential rooms can be low, Sound Pressure Level measurements can be highly non-reproducible. Different microphone locations can lead to different measurements, making it more challenging to compare the performance of one assembly with another. Vibration measurements can assist in making these decisions. These authors have undertaken a long-term project to explore using vibration measurements from accelerations on the source and the receiver side floor assemblies to get additional performance information from assemblies, especially in low-frequencies. In this work, we use three different input methods and show that the vibration-based floor performance is not dependent on the type of input. This suggests that any input, such as a foot stomp, can be used as an input force, as long as the output and input side acceleration is measured.



1. INTRODUCTION

Footstep noise is a common source of annoyance in multi-story residential buildings.^{1–3} ASTM standards^{4–6} are used within the US to evaluate the impact performance of floor-ceiling assemblies. The test uses a standard tapping machine as an impactor on the source room floor and measures the radiated Sound Pressure Level (SPL) in the receiving room. The ASTM standard states that the tapping machine is not designed to mimic footstep impacts. Yet, the method provides a useful way of evaluating and rank-ordering the impact performance of various floors.

The measurement procedure suffers from reproducibility issues at low frequencies. Kylliäinen et al⁷ studied 40 test structures and observed sound pressure level standard deviations of up to 8 dB in the 50 - 100 Hz bands due to different microphone locations in the living room. Hopkins et al⁸ observed approximately 4 - 5 dB variation due to different microphone locations in the receiving room and Oliva et al⁹ used a simulation model to show up to 4 - 10 dB measurement variation from 40 to 160 Hz. Similar behavior can be observed in other studies.^{10–14} High measured SPL variability can lead to a high variation in calculated single-number ratings such as IIC (Impact Insulation Class⁶) and LIIC (Low-frequency Impact Insulation Class¹⁵). This can make it difficult to evaluate, rank-order, and design the impact performance of floor-ceiling assemblies.

The ASTM E989 standard⁶ defines the Impact Insulation Class (IIC) rating, calculated using the measured SPL from 100 Hz to 3150 Hz and the ASTM E3207 standard¹⁵ defines the Low-frequency Impact Insulation Class (LIIC) rating, calculated using the measured SPL from 50 to 80 Hz. The LIIC was added to the ASTM portfolio in the last few years, primarily because footsteps have a lot of low-frequency energy content.¹⁶ This makes it imperative to evaluate the radiated SPL due to impacts in frequencies below 100 Hz.^{16–23} and it is important to study frequencies below 100 Hz with the standard test methods. The measurement variability, as discussed previously, is worse at lower frequencies due to the low density of room modes in the low-frequency bands.

The authors have undertaken a long-term project to develop a vibration-based measurement method to evaluate the impact performance of floor-ceiling assemblies. Floor acceleration is measured on the floor and the ceiling surfaces to better understand how the vibration travels through the assembly. The supposition is that measuring vibration may be a better or complementary method to evaluate low-frequency impact performance as it will not be significantly affected by receiving room modes.

Portions of this project have been presented at the InterNoise 2022 conference,²⁴ where the input forces from the tapping machine impacts and the standard ISO impact ball²⁵ were calculated using the measured acceleration on a floor with known impedance. The impedance was measured directly using a modal hammer, with the assumption that the floor impedance is independent of the type of impact excitation.

In this work, we will be evaluating this assumption. The acceleration measured on the ceiling and the floor surfaces from a modal hammer, tapping machine, and impact ball were compared to analyze the differences observed, if any, with different impactors.

The next section explains the assembly studied and discusses the measurement methods used.

2. METHODOLOGY

The floor-ceiling structure evaluated for this work was still in the construction stages so it does not have an underlayment or a finish floor. The tested assembly consists of an OSB (Oriented Strand Board) sub-floor, wood joist with batt insulation, resilient channel, and one layer of gypsum board. A sketch of the assembly is shown in Fig. 1. The room size was $4.3m \times 3.3m \times 2.7m$ ($14ft \times 11ft \times 9ft$).



Figure 1: The floor-ceiling assembly evaluated in this work is shown above. The floor did not have any underlayment or a finish floor

For sanity check, the standard ASTM test according to E1007⁵ was conducted and the single-number ratings were calculated based on ASTM E989,⁶ E3207,¹⁵ and E3222.²⁶ Figure 2 shows the measured SPL values using the solid red line, and the reference curves for ISR (Impact Sound Rating⁶) and HIR (High-frequency Impact Rating²⁶) using the dashed and dotted black lines, respectively. The ISR, HIR, and LIR (Low-frequency Impact Rating¹⁵) ratings are shown in the bottom-right corner.



Figure 2: The radiated SPL measured according to ASTM E1007⁵ is shown using the solid red lines and the reference curves according to ASTM E989⁶ and E3222²⁶ are shown using the dashed black and dotted black lines, respectively. The three ASTM single-number ratings are presented at the bottom left corner of the graph

A PCB modal hammer (Model number 086D50²⁷), along with the standard tapping machine and the ISO impact ball²⁵ were used as impactors on the source floor. The four locations, represented from sp1 to sp4, are shown using the blue circles in Fig. 3. The solid black lines are used to represent the room boundaries and the dashed black lines show the joist locations. Recall that the assembly did not have an underlayment or a finished floor, so the joists were easily located by the nail pattern in the subfloor.



Figure 3: The blue circles show the four impact locations, represented using sp1 to sp4, for the test floor. The dashed black lines show the joist locations

PCB 356A15 triaxial accelerometers were used for this work, but only the vertical channel was considered. Figure 4 shows the floor layout in the source room on the left and the ceiling layout on the right. Five accelerometers were mounted on the floor, represented using red squares, and three accelerometers were mounted on the ceiling, shown with green squares. Blue circles show the impact locations, only for reference.



Figure 4: The floor locations are shown on the left and the ceiling locations are on the right. The accelerometer locations are highlighted with red and green squares, respectively, and the blue circles show the force locations, previously discussed in Fig. 3

Parts of this project have previously been presented at InterNoise 2022 conference,²⁴ where the impact forces from the tapping machine and the ISO impact ball were calculated by multiplying the acceleration of the tapping machine/ impact ball impacts by the inverse FRF (Frequency Response Function) measured with the modal hammer. This predicted force was averaged for all eight accelerometer locations to get the average tapping machine and impact ball force. This was compared to previously measured data and shown to have a good comparison.

The focus of this work was the vibration propagation through the floor-ceiling structure. The Frequency Response Function (FRF), which is the complex ratio of the acceleration to the input force,^{28,29} was measured using a modal hammer to excite the floor. FRFs were measured from each impact location to each accelerometer position on the floor and ceiling as described in the previous section. For each source position, the FRF to the floor accelerometers and the ceiling accelerometers were averaged, and the Δ FRF is defined as the difference in decibels between the floor and ceiling average FRFs.

The vibration transmission was also measured using the acceleration level difference ΔL_a between the floor and ceiling, calculated from the averaged autopower spectra using all floor and all ceiling-mounted accelerometers.

3. **RESULTS**

Figure 5 compares the Δ FRF and ΔL_a for the four impact locations using the solid and the dashed lines, respectively. Four different colors are used to show four impact locations. For any given impact location, the Δ FRF and ΔL_a were similar for the entire bandwidth under study and nearly identical below 125 Hz. This is not a surprising result as phase is often not important in building acoustics quantities, which are mostly averages over space and time. Therefore, for the remainder of this analysis, we only consider the vibration level difference ΔL_a . This is convenient as it is considerably easier and quicker to measure than an FRF.



Figure 5: The Δ FRFs and ΔL_a for all four modal hammer impact locations are shown using the solid and the dashed lines, respectively. The two Δs are comparable to each other but high variation is observed for the four tapping machine positions

However, large differences are observed between different impact locations. As much as 15 dB variations are observed due to different impact locations from 16 Hz to 2000 Hz one-third-octave bands. Some differences might be expected, such as on the joist versus between joists, but such large variations are unexpected.

The ΔL_a spectra from all three impact sources for all four impact locations are shown in Fig. 6. The modal hammer, tapping machine, and impact ball are represented using the solid blue line, solid red line, and the dashed magenta line, respectively. For impact locations 2 and 3, the three impactors are comparable, but this is not the case for positions 1 and 4. For position 1, large differences are observed with the modal hammer, while for position 4, large differences are observed with the tapping machine, especially below 63 Hz and above 500 Hz. This may be due to the proximity between the impact location and the accelerometer locations.



Figure 6: The ΔL_a (acceleration autopower spectra) for the four impact positions with the modal hammer (solid blue lines), tapping machine (solid red lines), and the impact ball (dashed magenta lines) show a good comparison for two of the four positions, but large differences for the other two positions are observed

Figure 7 highlights the 1st and the 4th impact locations as sp1 and sp4 (also shown in Fig. 3). Note that there is at least one accelerometer that is extremely close to these impact locations (red circles). Near-field effects of the force impact may be the reason for the discrepancies observed for these locations in Fig. 6.



Figure 7: The Source Positions (sp) 1 and 4 are shown and they are extremely close to at least one accelerometer. The near-field effects from the impact may be causing the discrepancy observed in Fig. 6

To avoid potential near-field effects on the data, the floor acceleration measured within 0.5 m of the impact locations was ignored and a new averaged floor acceleration was calculated. This was used to calculate the new ΔL_a spectra for all three input methods, which is presented in Fig. 8. The four locations are shown separately on four sub-plots, same as Fig. 6, and the three impactors are represented using the solid blue, solid red, and dashed magenta lines for the modal hammer, tapping machine, and the impact ball, respectively.

An improved comparison is observed for positions 1 and 4 when the near-field data is ignored. All four positions show good agreement between impact sources, except the tapping machine at position 4 above 1000 Hz. The focus of this work is low-frequencies so we can ignore this variation. Based on this data, it is safe to say that if the near-field acceleration is ignored, the choice of the impactor does not affect the vibration propagation from the impacted floor to the ceiling.



Figure 8: The ΔL_a (acceleration autopower spectra) for the four impact positions with the modal hammer (solid blue lines), tapping machine (solid red lines), and the impact ball (dashed magenta lines) after ignoring the floor acceleration measured within 0.5m of any impact locations shows an improved comparison, as opposed to Fig. 6

However, the variation observed due to different impact positions on the floor is unexpectedly high. Figure 9 shows the mean and the standard deviation in ΔL_a due to the impact location for the modal hammer, ignoring the near-field accelerometers. The solid curve in the middle is the mean value while the error bars represent one standard deviation on each side of the mean. Approximately 1.5 - 6.3 dB one standard deviation can be observed. Recall that this assembly doesn't have an underlayment or a finish floor, and the lack of load distribution may result in a greater variation due to impact location. More work is needed to better understand this behavior.



Figure 9: One standard deviation shown along the mean values for the ΔL_a (acceleration autopower spectra) due to all four input locations of the modal hammer shows approximately 1.5 - 6.3 dB variation

4. CONCLUSIONS AND FUTURE SCOPE

The choice of impact source does not significantly affect the vibration propagation through the floorceiling assembly (although some differences were observed above 1000 Hz) as long as the floor accelerometers are a minimum of 0.5 m from the impact location. Assuming that this behavior also holds for real sources such as footfall, for example, then the tapping machine or any of the sources can be used to evaluate the vibration propagation through the assembly.

High variation in the vibration transmission was observed due to impact location, which was unexpected. More work needs to be done to better explain this behavior. If this is a true behavior of the structure, this has significant implications for the design of measurement methods to reduce uncertainty.

In the future, we will explore how the measured vibration propagation through the assembly compares with the radiated SPL and measured sound power in the receiving room. We also conducted a reciprocal test, where a speaker was used in the receiving room to generate an acoustic input for the assembly and the floor vibration was measured.

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